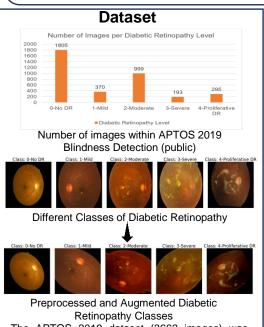


## Li Tong (Aaron)

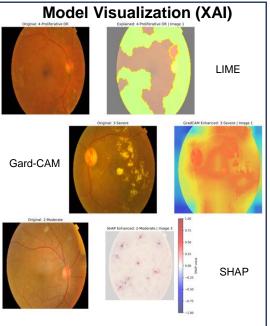
### Classification of Diabetic Retinopathy using Residual Learning with a Custom Balanced Softmax Loss

Oxford Brookes University in collaboration with Chengdu University of Technology Supervised by Dr. Happy Nkanta Monday

This study proposes a ResNet-based deep learning model for Diabetic Retinopathy (DR) classification, using Balanced Softmax Loss to address class imbalance and incorporating SE blocks, wavelet transforms, and attention mechanisms to enhance feature extraction. The model achieved micro-average accuracies of 0.83 (5-class) and 0.87 (4-class) on the APTOS 2019 dataset, with macro-average accuracies of 0.73 and 0.84, respectively. Interpretability was improved using XAI techniques (LIME, Grad-CAM, SHAP). The model was deployed as a real-time web application, demonstrating potential for early DR diagnosis and clinical decision support.



The APTOS 2019 dataset (3662 images) was split into training, validation, and test sets. The training set underwent augmentation (flipping, adjustments, rotations) preprocessing (center cropping, normalization) to address image artifacts and class imbalance



XAI techniques (LIME, Grad-CAM, SHAP) were used in this study to enhance the interpretability of the deep learning model, providing insights into its decision making process for medical imaging.

# 96 filters with SE Wavelet ( ilobalAveragePooling2D Head Concat

Multi-Scale Attention Residual Network

### **Model Structure**

This study proposes a deep learning model integrating ResNet blocks, SE modules, learnable wavelet transforms, MHA, and FFN to address class imbalance and improve accuracy through multi-level feature extraction and enhanced selection.

To address class imbalance, this project uses a Balanced Softmax loss function. It removes the Softmax activation from the output layer and adjusts the loss by adding the logarithm of class sample counts to the logits, giving higher weights to minority class errors during training to improve their recognition.

$$\begin{aligned} adjusted\_logits &= logits + \log(class\_counts) \\ L &= -\sum_{i=1}^{N} \sum_{j=1}^{K} y_{ij} \log (\hat{y}_{ij} + \log(class\_counts[j])) \\ \hat{y}_{ij} &= \frac{e^{adjusted\_logits_{j}}}{\sum_{k=1}^{K} e^{adjusted\_logits_{k}}} \end{aligned}$$

Balanced Softmax loss function

# Model evaluation Training and Validation Loss Training and Validation Accuracy ₹ 0.5 Training and Validation Loss Trends Training and Validation Accuracy Trends

The above figures collectively demonstrate the model's training progress, classification performance, and evaluation metrics, providing a comprehensive overview of its effectiveness and reliability.

**ROC-AUC Performance Evaluation** 



Main Page

### Future work

### Lightweight model exploration

Confusion Matrix

To reduce training time and enable faster, more efficient deployment.

### Cross-dataset generalization

To evaluate performance on other imbalanced medical image datasets.

Precision-Recall Curves

Graphical

designed using Flask to deploy the trained model on a platform. It allows

users to upload retinal

images and receive

predictions.

was

Interface (GUI)

### Pretrained model integration

To boost classification accuracy and improve handling of class imbalance