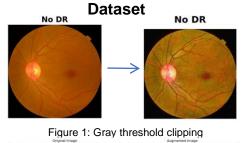


### **Richard Zhao**

### Residual-Based Dual Attention Ensemble model for Diabetic **Retinopathy classification**

Oxford Brookes University in collaboration with Chengdu University of Technology Supervised by Dr Happy Nkanta Monday

Diabetic Retinopathy (DR) is a leading cause of vision loss among diabetic patients, and early detection is crucial. This project proposes a novel ensemble model combining ResNet50 with a dual attention mechanism to classify DR using the imbalanced APTOS 2019 dataset. The model achieved strong performance, with an AUC of up to 1.0 in the No DR class and 0.97 in the Advanced class. Additionally, LIME was employed to enhance interpretability. The proposed model demonstrates high potential for medical diagnosis support, especially in handling imbalanced data.



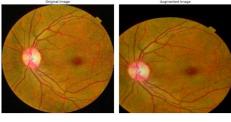
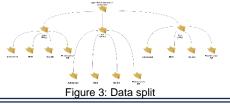


Figure 2: Orignal image and Augmentation image Gray threshold clipping preserves the effective retinal area while eliminating the black background area of the fundus image.



### Interpretability and significance analysis of the model



Figure 11: LIME of Advanced

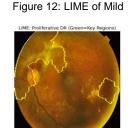


Figure 12: LIME of No

Figure 13: LIME of Proliferative DR This project utilizes localinterpretable Model Interpretation (LIME) as the interpretable AI tool to virutalize the focus point.

# **Ensemble models** Figure 3: ResNet50 Architecture Figure 4: Dual Attention Architecture

Model evaluation

## out (224 ° 224 ResNet50+Dual At Figure 5: Ensemble models

In this part, the model will be separated into single ResNet50 and Dual Attention mechanism. Combined the two single model to an ensemble model.

### Accuracy over epochs Loss over epochs Training Loss Validation Loss 1.2 1.0 8.0 % 0.6 0.4 Training Accuracy Validation Accuracy 0.2 10 15 Epochs

Figure 5: Accuracy Receiver Operating Characteristic Class 0 (AUC = 0.97) Class 1 (AUC = 0.93) Class 2 (AUC = 1.00) Class 3 (AUC = 0.96) 0.4 0.6 False Positive Rate

0.8

0.4 0.4

0.2

Figure 6: Loss

Precision-Recall Curve 0.8

Figure 7: Precision-Recall Curve

Figure 9: ROC Figure 10: F1 score Figure 8: ROC The figure above shows the result of proposed model training on the dataset, indicating the model has ability to identify different categories

### WEB APPLICATION DEVELOPMENT





Figure 15: Results



Figure 16: LIME

Figures 14 to 16 illustrate the local deployment website, which guides users to upload images, view class prediction confidence, receive a final DR level prediction with LIME-based visual explanation, and support to download the results.

### Future work

- 1. Exploring new attention mechanisms and optimizing the network structure to enhance model's performance and generalization ability.
- 2. Collect more not DR images to further address the imbalance of the dataset.
- 3. Try to make the model be lightweight.